Suggestions from Technical Advisory Committee

Compliance Monitoring

Suggestions Highlighting or Emphasizing Important Aspects of Current Process

- Retain current process of periodic progress reports after decision until the service becomes operational, followed by documentation of completed costs. (G-1 and G-5)

- Maintain communication between affected state agencies to permit cross-check between licensing, certification, registration and/or reimbursement sources which would support compliance monitoring related to the approved the scope of services. (G-3)

- Enforce penalties for non-compliance with provisions and conditions of the CON-approved application, using for example such provisions as curtailment of services, fines, or other defined penalties. (G-4)

Suggestions Based upon Observations Similar to those Cited in JLARC Report

- Extend the length of compliance accountability and oversight to at least five years after project completion. (G-2)

- Monitor that an applicant is providing the approved service:
  - Based upon the assumptions that led to approval.
  - To the population promised.
  - At the promised level of charity care.
  - In compliance with the added conditions.
  - Observing the utilization/volume standards appropriate in tertiary services (or be able to demonstrate that departure from the assumptions is reasonable and has not impacted quality outcomes).
  - Attaining the "special conditions/representations" that resulted in the decision to award. (G-6)